

RUSH Eric W. Starke  
10/31 (40)

Access DB# 89161

SEARCH REQUEST FORM

Scientific and Technical Information Center

Requester's Full Name: Harish Dass Examiner #: 79274 Date: 3/17/03  
Art Unit: 3628 Phone Number 30 5-4694 Serial Number: 09/890 815  
Mail Box and Bldg/Room Location: \_\_\_\_\_ Results Format Preferred (circle): PAPER DISK E-MAIL

If more than one search is submitted, please prioritize searches in order of need.  
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Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: David Lockwood Computer Borrow & Loan Securities Auction System  
Inventors (please provide full names): David Lockwood 3/17

Earliest Priority Filing Date: Nov 3 / 1999

\*For Sequence Searches Only\* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

- 1 - See Attached spec
- 2 - Match Between borrower & lender, fee loan of specific quantity of security
- 3 - claim 1
- 4 - claim 6

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Searcher: Bede Arkintola  
Searcher Phone #: 308 6150  
Searcher Location: ELC 3600  
Date Searcher Picked Up: 3-17-03  
Date Completed: 3-18-03  
Searcher Prep & Review Time: 60 min  
Clerical Prep Time: \_\_\_\_\_  
Online Time: 120 min

Type of Search

NA Sequence (#) \_\_\_\_\_  
AA Sequence (#) \_\_\_\_\_  
Structure (#) \_\_\_\_\_  
Bibliographic \_\_\_\_\_  
Litigation \_\_\_\_\_  
Fulltext \_\_\_\_\_  
Patent Family \_\_\_\_\_  
Other \_\_\_\_\_

Vendors and cost where applicable

STN \_\_\_\_\_  
Dialog \$993.00  
Questel/Orbit \_\_\_\_\_  
Dr.Link \_\_\_\_\_  
Lexis/Nexis \_\_\_\_\_  
Sequence Systems \_\_\_\_\_  
WWW/Internet \_\_\_\_\_  
Other (specify) \_\_\_\_\_

L Number	Hits	Search Text	DB	Time stamp
1	1	((US-4799156-\$ or US-6058379-\$ or US-5761441-\$ or US-5809483-\$ or US-6385594-\$ or US-6304858-\$ or US-6188993-\$ or US-6134536-\$ or US-6038537-\$ or US-6018721-\$ or US-5924082-\$ or US-5875437-\$ or US-5802499-\$ or US-5644727-\$ or US-6078906-\$ or US-5835896-\$).did. or (US-20020032642-\$ or US-20020023037-\$).did.) and English and Dutch 705/.ccls.	USPAT; US-PGPUB	2003/03/18 15:45
-	18808		USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:10
-	28	705/.ccls. and lend same securit\$3	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 07:53
-	28	705/.ccls. and borrow same securit\$3	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:17
-	2	(705/.ccls. and lend same securit\$3) and (705/.ccls. and borrow same securit\$3)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/18 12:54
-	60	705/.ccls. and borrow same (securit\$3 or bonds or stock or index)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:23
-	7	(705/.ccls. and lend same (securit\$3 or bonds or stock or index)) and (705/.ccls. and borrow same (securit\$3 or bonds or stock or index))	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:18
-	0	(705/.ccls. and lend near (securit\$3 or bonds or stock or index)) and (705/.ccls. and borrow near (securit\$3 or bonds or stock or index))	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:24
-	7	705/.ccls. and lend near (securit\$3 or bonds or stock or index)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:24
-	5	705/.ccls. and borrow near (securit\$3 or bonds or stock or index)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:25
-	51	705/.ccls. and lend same (securit\$3 or bonds or stock or index)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:38
-	1616	705/.ccls. and exchang\$3 same (securit\$3 or bonds or stock or index)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:39
-	20	(705/.ccls. and exchang\$3 same (securit\$3 or bonds or stock or index)) and (705/.ccls. and lend same (securit\$3 or bonds or stock or index))	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:39

-	27	(705/.ccls. and exchang\$3 same (securit\$3 or bonds or stock or index)) and (705/.ccls. and borrow same (securit\$3 or bonds or stock or index))	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:40
-	5	((705/.ccls. and exchang\$3 same (securit\$3 or bonds or stock or index)) and (705/.ccls. and lend same (securit\$3 or bonds or stock or index))) and ((705/.ccls. and exchang\$3 same (securit\$3 or bonds or stock or index)) and (705/.ccls. and borrow same (securit\$3 or bonds or stock or index)))	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:40
-	200	705/.ccls. and borrow\$3 and lend\$3 and exchang\$3	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/12 14:42
-	618	705/.ccls. and exchang\$3 same securit\$3 and cash	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 07:58
-	210	(705/.ccls. and exchang\$3 same securit\$3 and cash) and @py<2001	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 07:55
-	40	705/.ccls. and exchang\$3 near3 securit\$3 same cash	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 08:03
-	1	705/.ccls. and exchang\$3 near3 securit\$3 same (lend or lent)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 08:05
-	33	705/.ccls. and exchang\$3 near3 securit\$3 and (lend or lent)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 08:39
-	8	705/.ccls. and exchang\$3 near3 securit\$3 and (lend or lent) and exchang\$3 and securit\$3 and borrow	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:18
-	119	705/.ccls. and "spread between"	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 08:41
-	81	705/.ccls. and "spread between" and (fee or charge? or commission)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 08:42
-	40	(705/.ccls. and "spread between" and (fee or charge? or commission)) and (705/.ccls. and exchang\$3 same securit\$3 and cash)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 08:43
-	9	((705/.ccls. and "spread between" and (fee or charge? or commission)) and (705/.ccls. and exchang\$3 same securit\$3 and cash)) and ((705/.ccls. and exchang\$3 same securit\$3 and cash) and @py<2001)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 08:43

-	35	705/.ccls. and exchange\$3 and securit\$3 and (lend or lent) and borrow	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:25
-	34	705/.ccls. and exchange\$3 and securit\$3 and (lend or lent) and borrow and (exchange or swap)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:26
-	36	705/.ccls. and exchange\$3 and (securit\$3 or bond? or stocks) and (lend or lent) and borrow and (exchange or swap)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:32
-	37	705/.ccls. and exchange\$3 and (securit\$3 or bond? or stocks or currenc\$3) and (lend or lent) and borrow and (exchange or swap)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:43
-	134	705/.ccls. and ("English auction" or "Dutch auction")	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:47
-	7	705/.ccls. and ("English auction" or "Dutch auction") and @py<2000	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:47
-	14	705/.ccls. and ("English auction" or "Dutch auction") and @py<2001	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:54
-	51	705/.ccls. and indication near (sell\$3 or buy\$3 or lend\$3 or borrow\$3)	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/17 09:56
-	2	5966699.pn.	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/18 13:10
-	2	6408282.pn.	USPAT; US-PGPUB; EPO; JPO; DERWENT; IBM_TDB	2003/03/18 13:23
-	18	(US-4799156-\$ or US-6058379-\$ or US-5761441-\$ or US-5809483-\$ or US-6385594-\$ or US-6304858-\$ or US-6188993-\$ or US-6134536-\$ or US-6038537-\$ or US-6018721-\$ or US-5924082-\$ or US-5875437-\$ or US-5802499-\$ or US-5644727-\$ or US-6078906-\$ or US-5835896-\$).did. or (US-20020032642-\$ or US-20020023037-\$).did.	USPAT; US-PGPUB	2003/03/18 14:15
-	0	((US-4799156-\$ or US-6058379-\$ or US-5761441-\$ or US-5809483-\$ or US-6385594-\$ or US-6304858-\$ or US-6188993-\$ or US-6134536-\$ or US-6038537-\$ or US-6018721-\$ or US-5924082-\$ or US-5875437-\$ or US-5802499-\$ or US-5644727-\$ or US-6078906-\$ or US-5835896-\$).did. or (US-20020032642-\$ or US-20020023037-\$).did.) and exchange and securities	USPAT; US-PGPUB	2003/03/18 14:15

-	16	((US-4799156-\$ or US-6058379-\$ or US-5761441-\$ or US-5809483-\$ or US-6385594-\$ or US-6304858-\$ or US-6188993-\$ or US-6134536-\$ or US-6038537-\$ or US-6018721-\$ or US-5924082-\$ or US-5875437-\$ or US-5802499-\$ or US-5644727-\$ or US-6078906-\$ or US-5835896-\$).did. or (US-20020032642-\$ or US-20020023037-\$).did.) and exchang\$3 and securit\$3	USPAT; US-PGPUB	2003/03/18 15:45
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